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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	09/676.227
				Filing Date	September 29, 2000
				First Named Inventor	Scott R. Sargent et al.
				Group Art Unit	2166
				Examiner Name	Unknown
				Attorney Docket Number	10022/035
Sheet	1	of	1		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JK		RYAN, H.W., SARGENT, S.R., BOUDREAU, T.M., ARVANITIS, Y.S., TAYLOR, S.J., MINDRUM, C.; "Practical Guide to Client/Server Computing," Second Edition, pp. 1-774; Copyright 1998, CRC Press LLC, Boca Raton, FL, United States.
JK		RYAN, H.W.; ALBER, M.W.; TAYLOR, S.J.; CHANG, R.A.; ARVANITIS, Y.S.; DAVIS, M.C.; MULLEN, N.K.; DOVE, S.L.; MEHRA, P.N.; MINDRUM, C.; "Netcentric Computing, Computing, Communications and Knowledge," pp. 1-413; Copyright 1998, CRC Press LLC, Boca Raton, FL, United States.

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Examiner Signature		Date Considered	3/26/04
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Sheet

1

of

2

Application Number

09/676.227

**Filing Date**

September 29, 2000

**First Named Inventor**

Scott R. Sargent et al.

**Group Art Unit**

2166

Examiner Name

Unknown

Attorney Docket Number

10022/035

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
OK		GB	2,315,891	A	IBM	02/11/1998		
OK		WO	98/57260	A	True Software	12/17/1998		
OK		EP	0 769 739	A2	Sun Microsystems, Inc.	04/23/1997		
OK		EP	0 520 923	A2	IBM	12/30/1992		
OK		WO	99/38079	A1	Appointnet, Inc.	07/29/1999		
OK		WO	97/12311	A2	Cable & Wireless, Inc.	04/03/1997		
OK		WO	98/52121	A2	Electronic Data Sys Corp.	11/19/1998		
OK		WO	98/53396	A1	Electronic Data Sys Corp.	11/26/1998		
OK		WO	92/20022	A1	Digital Equipment Corp.	11/12/1992		
OK		EP	0 829 808	A2	NEC Corp.	03/18/1998		

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

### Complete If Known

Application Number 09/676,227  
Filing Date September 29, 2000  
First Named Inventor Scott R. Sargent et al.  
Group Art Unit 2166  
Examiner Name Unknown  
Attorney Docket Number 10022/035

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
OK		Orfali, R., Harkey, D., "Client/Server Programming with OS/2 2.0 (2nd Ed.," 1992, Van Nostrand Reinhold New York, U.S., pp. 10-24, 111-137, 149-160, 588-594, XP002164217, ISBN 0-442-01219-5	
OK		Orfali, R., Harkey, D., Edwards, J., "Intergalactic Client/Server Computing," Byte, McGraw-Hill, Inc., St. Peterborough, U.S., Vol. 20, No. 4, 1 April 1995, pp. 108-110, 114, 11, XP000501823, ISSN: 0360-5280;	
OK		Lee, J., "Internet and Client Server Patent Information Systems: New Services from Derwent," World Patent Information, GB, Elsevier Sciences Publishing, Barking, Vol. 20, No. 2, June 1998, pp. 136-139, XP004165804, ISSN 0172-2190	
OK		Orfali, R., Harkey, D., "Client/Server With Distributed Objects," Byte, McGraw-Hill, Inc., St. Peterborough, U.S., Vol. 20, No. 4, 1 April 1995, pp 151-152, 154, 156, XP000501827, ISSN 0360-5280	
OK		Aoyama, M., Hanai, Y., Suzuki, M., "An Integrated Software Maintenance Environment: Bridging Configuration Management and Quality Management," Proceedings of the Conference on Software Maintenance, International Conference on Software Maintenance, Washington, US, IEEE Computer Society Press, 24 October 1988 pp. 40-44	
OK		Borsook, P., "Seeking Security. Mainframe Techniques Define System Security. Soon, they'll be Adapted To Distributed Client/Server Systems." Byte, McGraw-Hill, Inc., St. Peterborough, Vol. 18, No. 6, 1 May 1993, pp. 119-122, 124, 12, XP000359327, ISSN 0360-5280.	
OK		Tanenbaum, A., "Computer Networks - Third Edition," Prentice-Hall International, London, GB, 1996, pp. 28-39, XP002161723	
OK		Blakeley, J.A., "Universal Data Access with OLE DB," Proceedings of IEEE Comcon, U.S. Los Alamitos, IEEE Computer Society Press, 23 Feb. 1997, pp. 2-7	
OK		IBM Technical Disclosure Bulletin, "Security, License Management and Application Metering Process for Controlling Applications in the DOS Environment," IBM Corp., New York, US, Vol. 37, No. 11, pp 195-199, 1 Nov. 1994	

Examiner Signature

*[Signature]*

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JL		Voth, G.R., Kindel, C., Fujioka, J., "Distributed Application Development for Three-Tier Architectures: Microsoft on Windows DNA," IEEE Internet Computing Vol. 2, No. 2, March/April 1998, USA			

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